

**CONSENT FORM 1**

**Patient agreement to Investigation or treatment**

<p style="text-align: center;"><b>Patient details (or pre-printed label)</b></p> <p>Patient's surname/family name .....</p> <p>Patient's first name .....</p> <p>Date of birth:..... Gender:.....</p> <p>Responsible Clinician:.....</p> <p>Job title: .....</p> <p>NHS number (or other identifier): .....</p> <p>Special requirements: ..... (e.g. other language/other communication method)</p>
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**Name of proposed procedure or course of treatment** (include brief explanation if Medical term not clear)

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**Statement of Health Professional** (To be filled in by the health professional with appropriate knowledge of procedure, as specified in consent policy)

I have explained the procedure to the patient. In particular I have explained:-

The intended benefits:-

.....

.....

Serious or frequently risks occurring:- e.g. Dental risks

.....

.....

I have also discussed what the procedure is likely to involve, the benefits and risks of any available alternative treatments (including no treatment) and any particular concerns of this patient)

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.....

Any extra procedures that may become necessary during the procedure:-

- Blood transfusion
- If very unwell has a discussion taken place regarding resuscitation and Intensive care treatment
- Other procedure, please specify e.g. medication for emergency treatment, IV fluids

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.....  
.....

- The following leaflet/tape has been provided:-.....

This procedure will involve:-

- General and/or regional anaesthesia
- Local anaesthesia
- Sedation

Signed..... Date.....

Name (PLEASE PRINT) ..... Job title.....

**Contact details** (if patient wishes to discuss options later).....

Statement of interpreter (where appropriate)

I have interpreted the information above to the patient of my best ability and in a way in which I believe s/he can understand.

Signed..... Date.....

Name (PLEASE PRINT) .....

**Copy accepted by patient yes/no** (please ring)

Please read this form carefully. If your treatment has been planned in advance, you should already have your own copy of page 2 which describes the benefits and risks of the proposed treatment, if not, you will offered a copy now. If you have any further questions, do ask – we are here to help you. You have the right to change your mind at any time, including after you have signed this form.

**Statement of patient**

**I agree** to the procedure or course of treatment described on this form.

Patient name ..... NHS number .....

**I understand** that you cannot give me a guarantee that a particular person will perform the procedure. The person will however have appropriate experience.

**I understand** that I will have the opportunity to discuss the details of anaesthesia with an anaesthetist before the procedure, unless the urgency of my situation prevents this. (This only applies to patients having general or regional anaesthesia)

**I understand** that any procedure in addition to those described on this form will only be carried out if it is necessary to save my life or to prevent serious harm to my health.

**I have been told about** additional procedures which may become necessary during my treatment. I have listed below any procedures that **which I do not wish to be carried out** without further discussion.

.....  
.....

Patient signature ..... Date.....

Name (PLEASE PRINT) .....

**A witness should sign below if the patient is unable to sign but has indicated his or her consent. Young people/children may also like a parent to sign here**

Signature ..... Date .....

Name (PLEASE PRINT) .....

**Confirmation of consent** (to be completed by a health professional when the patient is admitted for the procedure, if the patient has signed the form in advance)

On behalf of the team treating the patient, I have confirmed with the patient that s/he has no further questions and wishes the procedure to go ahead.

Signed:..... Date.....

Name (PLEASE PRINT) ..... Job title .....

**Important notes: (tick if applicable)**

- See also advance decision/living will (e.g. Jehovah's Witness form)
- Patient has withdrawn consent (ask patient to sign/date here)

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**Guidance to health professionals** (to be read in conjunction with the consent policy).

### **What a consent form is for**

This form documents the patient's agreement to go ahead with the investigation or treatment you have proposed. It is not a legal waiver – if patients, for example, do not receive enough information on which to base their decision, then the consent may not be valid, even though the form has been signed. Patients are also entitled to change their mind after signing the form, if they retain capacity to do so. The form should act as an *aide-memoire* to health professionals and patients, by providing a check-list of the kind of information patients should be offered, and by enabling the patient to have a written record of the main points discussed. In no way, however, should the written information provided for the patient be regarded as a substitute for face-to-face discussions with the patient.

### **The law on consent**

See the Department of Health's Reference guide to consent for examination or treatment for a comprehensive summary of the law on consent (available at [www.doh.gov.uk/consent](http://www.doh.gov.uk/consent)).

### **Who can give consent**

Everyone aged 16 or more is presumed to be competent to give consent for themselves, unless the opposite is demonstrated. If a child under the age of 16 has "sufficient understanding and intelligence to enable him or her to understand fully what is proposed", then he or she will be competent to give consent for him or herself. Young people aged 16 and 17, and legally "competent" younger children, may therefore sign this form for themselves, but may like a parent to countersign as well. If the child is not able to give consent for him or herself, some-one with parental responsibility may do so on their behalf and a separate form is available for this purpose. Even where a child is able to give consent for him or herself, you should always involve those with parental responsibility in the child's care, unless the child specifically asks you not to do so. If a patient is mentally competent to give consent but is physically unable to sign a form, you should complete this form as usual, and ask an independent witness to confirm that the patient has given consent orally or non-verbally.

### **When not to use this form**

If a patient is 18 or over and is not legally competent to give consent, you should use form 4 (form for adults who are unable to consent to investigation or treatment) instead of this form. A patient will not be legally competent to give consent if:

- They are unable to comprehend and retain information material to the decision and/or
- They are unable to weigh and use the information in coming to a decision

You should always take all reasonable steps (for example involving more specialist colleagues) to support a patient in making their own decision, before concluding that they are unable to do so.

Relatives cannot be asked to sign this form on behalf of an adult who is not legally competent to consent for him or herself.

### **Information**

Information about what the treatment will involve, its benefit and risks (including side-effects and complications) and alternatives to the procedure proposed, is crucial for patients when making up their minds. The courts have stated that patients should be told about "significant risks which would affect the judgement of a reasonable patient". "Significant" has not been legally defined, but the GMC requires doctors to tell patients about "serious or frequently occurring" risks. In addition if patients make clear they have particular concerns about certain kinds of risks, you should make sure they are informed about these risks, even if they are very small or rare. You should always answer questions honestly. Sometimes, patients may make it clear that they do not want to have any information about the options, but want you to decide on their behalf. In such circumstances, you should do your best to ensure that the patient receives at least very basic information about what is proposed. Where information is refused, you should document this on **page 2** of the form or in the patient's notes.